

RECOGNITION OF FACIAL EXPRESSION USING HYBRID ALGORITHM BASED ON LBP AND DRLBP

Minal Y. Barhate¹, Dr. Manoj Eknath Patil²

Research Scholar¹, Research Guide²

^{1,2}Department of Computer Science & Engineering, Dr.A.P.J.Abdul Kalam University, Indore(M.P)

minaltkolhe@gmail.com¹, mepatil@gmail.com²

Abstract : Analysis of facial expressions in real time is a difficult and interesting topic that has wide-reaching effects in many fields, such as human-computer interaction and data-driven animation. For facial expression-based emotion recognition, it is very important to be able to get a reliable representation of the face from photos of high-quality source material. In this study, we do a thorough empirical study of Local Binary Patterns, a way of representing faces that uses statistical local features to recognise facial expressions no matter who is looking at them. Different data sets are used to compare a number of different machine learning strategies in depth. Extensive research shows that the properties of LBP can be used to figure out how people are feeling by looking at their faces. We suggest Hybrid-LBP as a way to get more of the LBP features that are the best at telling them apart. When Support Vector Machine classifiers and Hybrid-LBP features are used together, the best recognition performance is achieved. We also look into how LBP features can be used to recognise low-resolution facial expressions, which is an important problem that has only been looked at a few times in the relevant research. During our research, we came to the conclusion that LBP features work reliably and consistently with a wide range of low-resolution face photos. We also found that these features work well in compressed video sequences with low resolution that were shot in natural settings.

Keyword: facial expression, Hybrid-LBP , Machine classifiers

1. INTRODUCTION

Face expression is one of the best, most direct, and most natural ways for people to tell each other what they are thinking, feeling, and planning. Analysis of facial expressions in real time is a difficult and interesting topic that has wide-reaching effects in many fields, such as human-computer interaction and data-driven animation. In recent years, there has been a big rise in interest in automatic facial expression recognition. This is because this technology can be used in so many different ways. Even though there has been progress in this area, it is still hard to recognise facial expressions because they are sensitive, complicated, and show a wide range of emotions. For facial expression-based emotion recognition, it is very important to be able to get a reliable representation of the face from photos of high-quality source material. Strategies for extracting facial features usually fall into one of two groups: those based on geometry or those based on how the face looks. The geometry of a face can be shown by a feature vector that is based on the geometric features of the face. The geometric features of a face tell us about the size, shape, and location of the face. In the Action Unit recognition task, we showed that

algorithms based on geometry work better than ones based on appearance. Geometric feature-based approaches, on the other hand, often need to be able to accurately identify and track face features. This makes it hard to use these methods in a wide range of situations. In appearance-based methods, picture filters like Gabor wavelets are applied to the whole face or to certain parts of the face to find out how the face looks to the outside world. Using Gabor-wavelet representations has been the main focus of most of the significant work on appearance-based techniques. [1] However, convolving face pictures with a stack of Gabor filters in order to get multi-scale and multi-orientational coefficients takes a lot of time and storage space. We do an empirical study of face representations based on Local Binary Pattern (LBP) characteristics so that facial expressions can be used to tell how someone is feeling without knowing the person. First, LBP features were made for use in the field of texture analysis. More recently, however, they have been used to represent faces in the field of facial photo analysis. Two of the best things about LBP features are that they can handle changes in light and are easy to use with computers. We study a wide range of machine learning strategies, such as template matching, support vector machines, linear programming, and latent dynamic analysis, so that we can use LBP data to correctly identify face expressions. Our research shows that, unlike Gabor wavelets, LBP features live in a low-dimensional feature space. They can be quickly made from a single pass through the raw image, and they keep face-specific information in a small representation. We make Boosted-LBP to help improve the ability of different classifiers to recognise things. This is done by training the LBP features that are best at telling people apart with AdaBoost. On top of that, we look at how well LBP features can be applied to different data sets. Unfortunately, the current state of the art in facial expression recognition requires high-resolution frontal faces and a tightly controlled setting to correctly determine a person's emotional state based on their facial expressions. Even though high-resolution face shots are ideal, most practical applications, such as smart meetings and visual monitoring, use lower-quality face images. When working with low-resolution photos taken in real life, it's clear that recognising emotions in the real world adds a new set of challenges. The first time we tried to identify emotions from a low-resolution face, it went pretty well. In Tian looked at how different image resolutions affected each step of the process of automatically recognising facial expressions. This study looks into how LBP features can be used to recognise low-resolution facial emotions. The results of tests with images of different resolutions show that LBP features are stable and resilient across a realistic range of low resolutions of face shots. Positive results on compressed video sequences from the real world showed that they could be used in the real world. This study is a bigger version of the large amount of work we've done in the past, which you can find in our other work. Here is a short summary of the paper's most important findings: In this paper, we look at the properties of LBP for the purpose of recognising emotions when there is no human evaluator present. Several different machine-learning algorithms are used to sort the information found in different databases. Before our work, LBP features were used to classify facial expressions. Before our work, there was no way to use an extended LBP operator to extract features for identifying facial expressions. As part of our work, we suggested using an extended LBP operator to pull out these features. On the other hand, earlier studies only

used one classifier and a small database (JAFFE). In this study, we take the opposite approach and look at how LBP features can be used for emotion recognition across different classifiers and larger datasets. The use of LBP characteristics for low-resolution facial expression detection is an important but little-studied topic. The goal of this research is to find out how these features are used. We also test on real compressed video sequences from the real world, in addition to testing on a wide range of image resolutions. There are a lot of reasons to be hopeful about how LBP characteristics can be used in the real world, since they give results that are on par with or even better than those of previous efforts. We show Boosted-LBP as a way to make multiple classifiers better at recognising things. For this method, you have to learn which LBP histograms are best for each expression. In addition, we look at how well LBP features work across a number of different data sets. The next few paragraphs are a summary of the rest of this work. In the next section, we'll give a brief summary of the research that has already been done on the subject. In Section 3, we go over the idea of local binary patterns in more depth. In Section 4, the different ways of categorising that can be used for LBP feature-based facial emotion recognition are talked about. In "Section 5," which comes next, we'll look into the field of low-resolution expression recognition. we also look at how well our results apply to other sets of data. In the ninth and final section of the article, the discussion is then brought to a close.

2. RELATED WORK

L. Zhang, et al.[2] Three feature images were retrieved along the horizontal and vertical axes of the combined handmade features and multi-stream CNN fusion network using the enhanced LBP and Sobel edge detection operators. These feature images were then input into the multi-stream CNN model. The findings of the experiments indicate that a multistream CNN fusion network, when used in conjunction with features that were manually developed, may be able to discover the baby's facial features more quickly and precisely (91.67 percent) than the traditional method.

F. Zhang, et al[3] model can make brand new face photos all the time, with each one showing a different set of expressions and positions. The goal is to grow and improve the training set for the FER task. We compare how well our approach works to the best and most recent algorithms and show that it does better on a number of benchmark datasets, such as Multi-PIE, BU-3DFE, and SFEW, both in a simulated setting and in the real world. You can find the code you need in the appendices.

H. Liu, et al.[4] Here, we provide a system for organising the range of human emotion shown in documentaries shot in their natural environments. Specifically, we employ a Deep Residual Network (ResNet) and a Long-Short Term Memory Unit (BLSTM). At the IEEE International Conference on Automatic Face and Gesture Recognition (FG) 2020, this approach placed second in the Affective Behavior Analysis in the Field Competition's Seven Basic Expression Classification Track. On the validation set, it had a 66.9% success rate and a final metric of 40.8%.

H. Zhang et al[5] Experiments completed on three main facial expression databases—two popular posed facial expression databases and one popular spontaneous facial expression database—show how good we are at recognising facial expressions.

Y. Xia, et al[6] Users will be able to tell if the method that has been suggested works or not by running qualitative and quantitative tests on the public database. The results of the tests show that the proposed method is better than the best practises that are already being used.

D. Poux, et al.[7] We were able to show that our method works by using simulated CK+ data with different levels of blockage. Our tests showed that the proposed method was able to close the gap between occluded and unoccluded settings in terms of how well recognition worked. We also look at how our method stacks up against the industry standard (often known as the gold standard). We also suggest a new way to do an experiment that combines making occlusions and evaluating reconstructions. This will set up a basis for future comparisons that can be repeated and are based on facts.

C. Jia, et al[8] A person's ability to show how they are feeling and give clues about how they are thinking through their facial expressions can be thought of as a form of body language. Knowing that people's faces show a wide range of emotions and behaviours is helpful for both communication and getting along with other people. In this article, we describe a way to recognise facial expressions by using a network of convolutional neural cells that have been trained as a group.

3. PROPOSED METHODOLOGY

A strategy for recognising facial expressions is used to solve the problem of rotation invariance in convolutional neural networks. This method solves the problem by using both[9] DRLBP and LBP features. lbp: The algorithm is called the DRLBP algorithm, and Fig. 1 shows how it works.

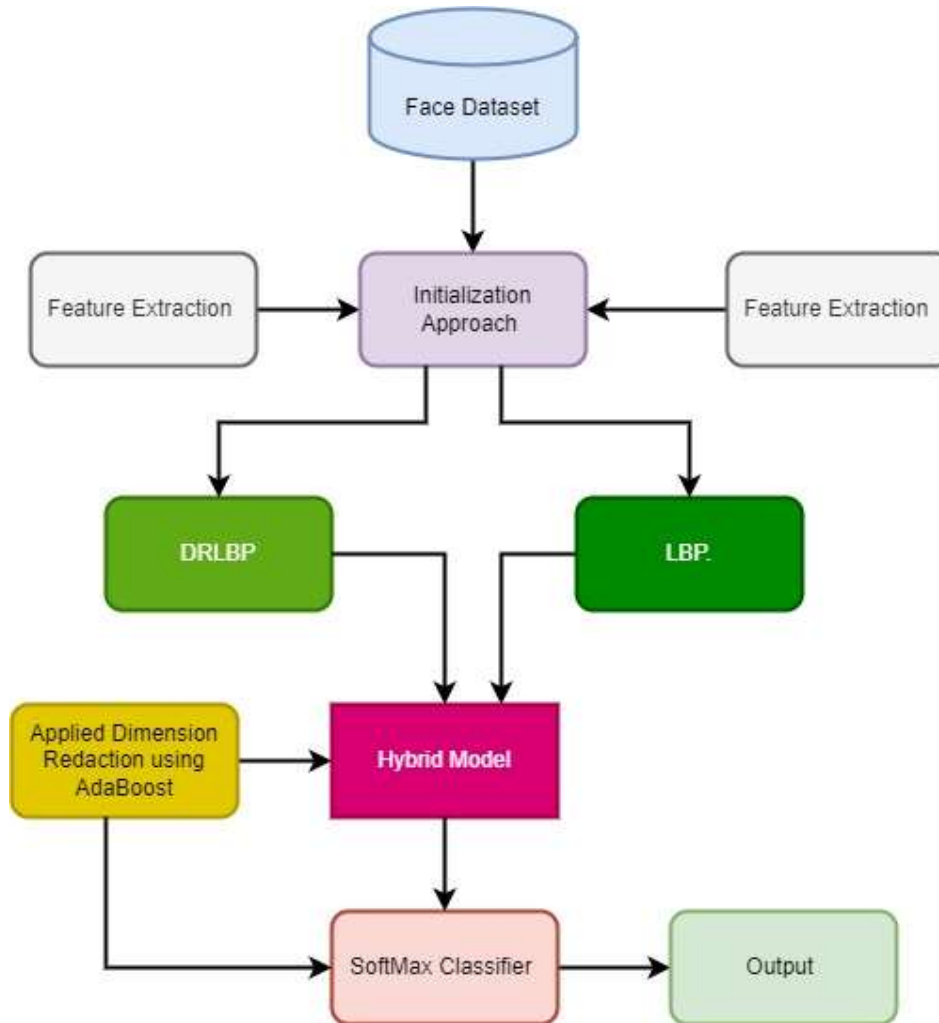


Figure 1: proposed model based on DRLBP with LBP.

After the images have been cropped and normalized[10] so that they are all the same size and shape, the next step is to rotate them so that a library of photos of rotating facial expressions[11] can be made. Second, we took the database of rotated facial expressions and split it into two sets: a training set and a test set. About 80% of all the samples were in these two sets. Dimensionality reduction was done with principal component analysis. After that, rotation-invariant LBP features were found in both the training and test samples[12]. These features were then mixed with DRLBP features. After feature fusion and dimensionality reduction were used on the expression features, they were sent on to the classification layer of Softmax[13]. Here is a list of the steps that the lbp-cnn algorithm[14] takes to do its job: When the input image is clipped, normalised, and rotated, the result is a 48-by-48-pixel expression image[15]. The output shows both the probability of each expression and the node to which it belongs. The node whose output value is the highest is used to decide the final classification.

Training phase:

1. We begin by assigning the following values to the EmotionNet model's parameters: 0.001 for the learning rate, 0.0005 for the loss tangent, 50 for the batch size, and 100 for the algebraic (epochs) value.
2. You can get DRLBP features by first training the network layer by layer, and then using the training data to get DRLBP features.
3. After the expression image was split into nine 3x3 blocks that didn't overlap, the LBP operator was used to pull out the local texture features from each block. These parts did not change when rotated.
4. On the second layer of DRLBP, the features of DRLBP and LBP[16] are combined. Then, the PCA dimensionality reduction technique is used to get rid of features that aren't important and focus on the ones that are.
5. To put photos of facial expressions into groups, we first put all of the features into a Softmax layer and then use a computer to figure out what was recognised.
6. To finalise the model parameter tuning process, we will adjust[17] the weight parameters and continue training until the loss function converges on a smaller value[18]. To begin, the cross-entropy loss function is used to calculate and then update the error that occurs between the recognition result and the target label during backpropagation.

Test phase:

1. The training procedure described above produces the DRLBP model[19], which is then used to analyse the input image and pull out the DRLBP features it contains.
2. During this process, DRLBP features are extracted from test photographs using the 3 in the training phase.
3. During the training time, you'll have to do steps 4 and 5 over and over again until you can correctly identify the test images.

4. RESULTS ANALYSIS

In this study, three different models were tested and trained using the fer-2013 expression database. Figures 1 show how well each of the three models did at recognising each of the three statements at different times. Based on the results of the experiments[20], the accuracy of the EmotionNetNet model, the LBP model, and the DRLBP model steadily improves as the number of training iterations goes up. The accuracy of the EmotionNet model barely changed as it got close to the end of its 35th generation of iteration, but the LBP model reached its ideal after only 32 generations of iteration. On the other hand[21], the accuracy of the DRLBP model, which only has one convolutional layer, did not improve after 25 iterations, even though it was run through the same steps[22] over and over again. When the algebra reached[23] the value of 50 (epoch=50), the recognition rate of the three models was measured on the test set, and their performances were compared using the obtuseness matrix. Look at figure to see what the confusion matrix looks like.

To make sure that the lbp-cnn algorithm doesn't change when rotated, it is compared to the standard convolutional neural network models LBP and EmotionNet. The results of the experiment were based on a collection of photos of people's faces that were rotated. The experiment shows how well the three algorithms in the test set can recognise expressions across

a range of rotation indices. The test set of the experiment has a total of 7542 photos, while the training set has 30168 photos.

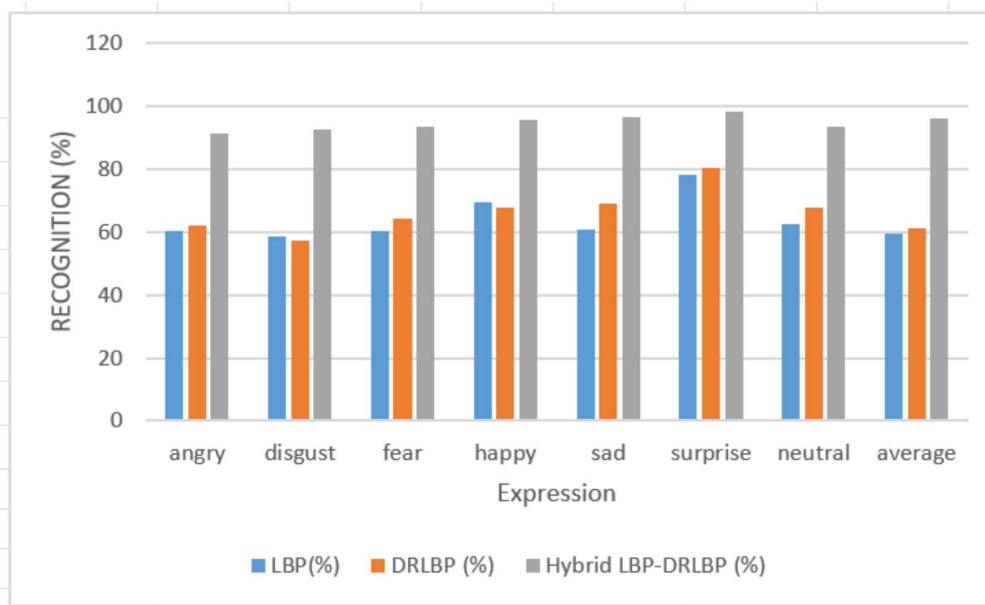
Table 1: Comparative analysis between training and testing

Model	Training time (s)	Test time (s)	Iterations	Recognition rate (%)
EmotionNet	122	20.11	13456	70.78
LBP	99	15.33	12345	74.32
DRLBP	68	9.22	98762	88.23
Proposed	60	6.76	87652	96.09

TABEL 2. comparison `of average recognition rate of three algorithms

Expression	LBP(%)	DRLBP (%)	Hybrid LBP-DRLBP (%)
angry	60.22	62.11	91.22
disgust	58.43	57.34	92.34
fear	60.53	64.45	93.44
happy	69.55	67.56	95.78
sad	60.67	68.89	96.33
surprise	78.32	80.45	98.34
neutral	62.56	67.56	93.55
average	59.45	60.98	96.23

Figure 2: Comparative Analysis Different algorithm in term of accuracy for facial expression recognition



5. Conclusion

In this work, the results of a large-scale study of how useful it is to use the Local Binary Patterns features to recognise facial expressions are talked about. The study was done by the people who wrote this book. Each of the many classification methods is looked at and compared by using different databases. Here is a summary of the most important parts of the article: For recognising emotions based on a person's facial expressions, it is important to be able to get a reliable representation of the face from images of high-quality source material. The LBP's properties are used to come up with hypotheses about changes in facial expressions, which are then tested. A lot of research shows that some parts of the LBP can be used to figure out how someone is feeling just by looking at their face. At these levels, it is hard to recognise facial expressions because most practical applications can only use low-quality, compressed video input. We look at how well LBP features work on low-resolution photos and find that they work the same way on many different low-resolution face shots. In the next few paragraphs, we'll talk about what we found. Low-resolution images were used to test how useful LBP characteristics were, which led to the discovery in question. With AdaBoost, we can quickly and easily look at a large number of LBP features to figure out which ones are the most discriminatory. By adding -LBP features to SVM, the accuracy of recognition is improved. On the other hand, this method can't handle all of the data that is available right now. Because the performance of a boosted strong classifier doesn't depend on how well it fits a template but on the properties of the weak hypothesis space in which it works, we will study a number of weak classifiers to improve the accuracy of classification. Because this method of facial recognition only uses still photos, it can't take advantage of things like how a person's expression changes over time. This is one of the things the work doesn't do well. Studies in the field of psychology show that a moving image is more likely to make a person react than a still one. [Needs citation] [Needs citation] The most important thing for us to do in the work we plan to do in the future is to collect data about time.

Reference

- [1]. Kreinis, T. Damri, T. Leon, M. Litvak and I. Rabaev, "Telemoji: A video chat with automated recognition of facial expressions," 2021 International Conference on Visual Communications and Image Processing (VCIP), 2021, pp. 1-1, doi: 10.1109/VCIP53242.2021.9675330.
- [2]. L. Zhang, C. Xu and S. Li, "Facial Expression Recognition of Infants Based on Multi-Stream CNN Fusion Network," 2020 IEEE 5th International Conference on Signal and Image Processing (ICSIP), 2020, pp. 37-41, doi: 10.1109/ICSIP49896.2020.9339265.
- [3]. F. Zhang, T. Zhang, Q. Mao and C. Xu, "Geometry Guided Pose-Invariant Facial Expression Recognition," in IEEE Transactions on Image Processing, vol. 29, pp. 4445-4460, 2020, doi: 10.1109/TIP.2020.2972114.
- [4]. H. Liu, J. Zeng and S. Shan, "Facial Expression Recognition for In-the-wild Videos," 2020 15th IEEE International Conference on Automatic Face and Gesture Recognition (FG 2020), 2020, pp. 615-618, doi: 10.1109/FG47880.2020.00102.
- [5]. H. Zhang, W. Su, J. Yu and Z. Wang, "Identity-Expression Dual Branch Network for Facial Expression Recognition," in IEEE Transactions on Cognitive and Developmental Systems, vol. 13, no. 4, pp. 898-911, Dec. 2021, doi: 10.1109/TCDS.2020.3034807.
- [6]. Y. Xia, W. Zheng, Y. Wang, H. Yu, J. Dong and F. -Y. Wang, "Local and Global Perception Generative Adversarial Network for Facial Expression Synthesis," in IEEE Transactions on Circuits and Systems for Video Technology, vol. 32, no. 3, pp. 1443-1452, March 2022, doi: 10.1109/TCSVT.2021.3074032.
- [7]. D. Poux, B. Allaert, N. Ihaddadene, I. M. Bilasco, C. Djeraba and M. Bennamoun, "Dynamic Facial Expression Recognition Under Partial Occlusion With Optical Flow Reconstruction," in IEEE Transactions on Image Processing, vol. 31, pp. 446-457, 2022, doi: 10.1109/TIP.2021.3129120.
- [8]. C. Jia, C. L. Li and Z. Ying, "Facial expression recognition based on the ensemble learning of CNNs," 2020 IEEE International Conference on Signal Processing, Communications and Computing (ICSPCC), 2020, pp. 1-5, doi: 10.1109/ICSPCC50002.2020.9259543.
- [9]. Kumar, R., Singh, J.P., Srivastava, G. (2014). Altered Fingerprint Identification and Classification Using SP Detection and Fuzzy Classification. In: , et al. Proceedings of the Second International Conference on Soft Computing for Problem Solving (SocProS 2012), December 28-30, 2012. Advances in Intelligent Systems and Computing, vol 236. Springer, New Delhi. https://doi.org/10.1007/978-81-322-1602-5_139
- [10]. J. Zhang, X. Yao, Y. Zhang, N. Xu and X. Liu, "Spectral Norm Normalized Network for Facial Expression Generation," 2020 IEEE International Conference on Progress in Informatics and Computing (PIC), 2020, pp. 202-206, doi: 10.1109/PIC50277.2020.9350747.
- [11]. X. Zhang, F. Zhang and C. Xu, "Joint Expression Synthesis and Representation Learning for Facial Expression Recognition," in IEEE Transactions on Circuits and

- Systems for Video Technology, vol. 32, no. 3, pp. 1681-1695, March 2022, doi: 10.1109/TCSVT.2021.3056098.
- [12]. Z. Hu and C. Yan, "Lightweight Multi-Scale Network with Attention for Facial Expression Recognition," 2021 4th International Conference on Advanced Electronic Materials, Computers and Software Engineering (AEMCSE), 2021, pp. 695-698, doi: 10.1109/AEMCSE51986.2021.00143.
- [13]. S. Roy Supta, M. Rifath Sahriar, M. G. Rashed, D. Das and R. Yasmin, "An Effective Facial Expression Recognition System," 2020 IEEE International Women in Engineering (WIE) Conference on Electrical and Computer Engineering (WIECON-ECE), 2020, pp. 66-69, doi: 10.1109/WIECON-ECE52138.2020.9397965.
- [14]. X. Xu, Z. Ruan and L. Yang, "Facial Expression Recognition Based on Graph Neural Network," 2020 IEEE 5th International Conference on Image, Vision and Computing (ICIVC), 2020, pp. 211-214, doi: 10.1109/ICIVC50857.2020.9177430.
- [15]. M. M. Kabir, T. A. Anik, M. S. Abid, M. F. Mridha and M. A. Hamid, "Facial Expression Recognition Using CNN-LSTM Approach," 2021 International Conference on Science & Contemporary Technologies (ICSCT), 2021, pp. 1-6, doi: 10.1109/ICSCT53883.2021.9642571.
- [16]. W. Chao, T. Ogawa, H. Yihsin, J. Hasegawa and N. Oshima, "Facial Expression Recognition for Hugging Type Vital Sign Measuring System," 2020 International Conference on Computational Science and Computational Intelligence (CSCI), 2020, pp. 1533-1534, doi: 10.1109/CSCI51800.2020.00284.
- [17]. B. Zhang, D. Wei, Q. Zhang, W. Si, X. Li and Q. Zhu, "Classroom monitoring system based on facial expression recognition," 2021 20th International Symposium on Distributed Computing and Applications for Business Engineering and Science (DCABES), 2021, pp. 108-111, doi: 10.1109/DCABES52998.2021.00034.
- [18]. W. Liu and J. Fang, "Facial Expression Recognition Method Based on Cascade Convolution Neural Network," 2021 International Wireless Communications and Mobile Computing (IWCMC), 2021, pp. 1012-1015, doi: 10.1109/IWCMC51323.2021.9498621.
- [19]. M. Garcia Villanueva and S. Ramirez Zavala, "Deep Neural Network Architecture: Application for Facial Expression Recognition," in IEEE Latin America Transactions, vol. 18, no. 07, pp. 1311-1319, July 2020, doi: 10.1109/TLA.2020.9099774.
- [20]. J. Fan, S. Wang, P. Yang and Y. Yang, "Multi-View Facial Expression Recognition based on Multitask Learning and Generative Adversarial Network," 2020 IEEE 18th International Conference on Industrial Informatics (INDIN), 2020, pp. 573-578, doi: 10.1109/INDIN45582.2020.9442212.
- [21]. N. I. Abbasi, S. Song and H. Gunes, "Statistical, Spectral and Graph Representations for Video-Based Facial Expression Recognition in Children," ICASSP 2022 - 2022 IEEE International Conference on Acoustics, Speech and Signal

- Processing (ICASSP), 2022, pp. 1725-1729, doi: 10.1109/ICASSP43922.2022.9747102.
- [22]. Vibhor Mahajan, Ashutosh Dwivedi, Sairaj Kulkarni, Md Abdullah Ali, Ram Kumar Solanki, "Face Mask Detection Using Machine Learning", International Research Journal of Modernization in Engineering Technology and Science, Volume:04/Issue:05/May-2022.
- [23]. W. Huang, S. Zhang, P. Zhang, Y. Zha, Y. Fang and Y. Zhang, "Identity-Aware Facial Expression Recognition Via Deep Metric Learning Based on Synthesized Images," in IEEE Transactions on Multimedia, vol. 24, pp. 3327-3339, 2022, doi: 10.1109/TMM.2021.3096068.
- [24]. H. Cao and C. Qi, "Facial Expression Study Based on 3D Facial Emotion Recognition," 2021 20th International Conference on Ubiquitous Computing and Communications (IUCC/CIT/DSCI/SmartCNS), 2021, pp. 375-381, doi: 10.1109/IUCC-CIT-DSCI-SmartCNS55181.2021.00067.
- [25]. J. Cai et al., "Identity-Free Facial Expression Recognition Using Conditional Generative Adversarial Network," 2021 IEEE International Conference on Image Processing (ICIP), 2021, pp. 1344-1348, doi: 10.1109/ICIP42928.2021.9506593.